

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section

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BSEC/Enforcement/2757/2019/PART (ii)/577

By Special Messenger/Courier

Dated: August 13, 2026

LankaBangla Securities PLC.
(DSE TREC Holder No. 132)
City Center (12th Floor), Unit # 12-C & D,
90/1, Motijheel C/A, Dhaka-1000.

Attention: Managing Director/CEO/Alleged Person

Subject: Warning to ensure compliance with all securities related laws in the future

This refers to the Commission's show cause cum hearing notice no: BSEC/Enforcement/2757/2019/1002 dated September 01, 2025, based on which the hearing was conducted on September 15, 2025.

The Commission, being dissatisfied and considering your degree of non-compliances as well as subsequent submission of required documents as proof of compliance vide letter dated September 15, 2025, has decided to warn you to be careful in the future and also suggested to be cautious about maintaining due diligence related to securities-related laws;

Any divergence from securities-related laws and failing of lawful responsibilities will be taken seriously, and you will be brought under appropriate enforcement action.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

By order of the Bangladesh Securities and Exchange Commission,



13.08.2026

Md. Mahmudul Hasan

Assistant Director (Enforcement)

Email: mahmudulhasan@sec.gov.bd

Copy for information:

PS to Chairman, BSEC.

PO to Commissioner (All), BSEC.

PO to Executive Director (Enf.), BSEC.

PO to Executive Director (MIAD), BSEC.

✓ PO to Executive Director (ICTD), BSEC.

Managing Director, Dhaka Stock Exchange PLC.

Managing Director, Chittagong Stock Exchange PLC.